Brain Derived Neurotrophic Factor Interacts with White Matter Hyperintensities to Influence Processing Speed and Hippocampal Volume in Older Adults

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13 Abstract.

- Background: Brain-derived neurotrophic factor (BDNF) is a neurotrophin that plays an important role in regulating synaptic
 activity and plasticity.
- 16 **Objective:** Given that type-2 diabetes (T2DM) increases the risk of cognitive decline, and studies have suggested lower BDNF
- 17 levels may be a risk factor of diabetic neurovascular complications, we sought to investigate total white matter hyperintensities
- (WMH) as a moderator of the effect of BDNF on hippocampal volume and cognition.
- ¹⁹ **Methods:** Older adults without dementia from the Alzheimer's Disease Neuroimaging Initiative (N = 454 including 49
- with T2DM and 405 without diabetes) underwent neuropsychological evaluation, magnetic resonance imaging to quantify
 hippocampal and WMH volumes, and blood draw to assess BDNF.
- **Results:** Adjusting for age, sex, and *APOE* ε 4 carrier status, there was a significant interaction between total WMH and
- BDNF on bilateral hippocampal volume in the non-T2DM group (t=2.63, p=0.009). Examination of main effect models with a dichotomous high/low BNDF group revealed a significant main effect for low BDNF (t=-4.98, p<0.001), such that
- as WMH increased, bilateral hippocampal volume decreased. There was also a significant interaction between total WMH
- and BDNF on processing speed in the non-T2DM group (t = 2.91, p = 0.004). There was a significant main effect for low BDNF (t = -3.55, p < 0.001) such that as WMH increased, processing speed decreased. The interactions were not significant
- ²⁸ in the T2DM group.
- Conclusion: These results further elucidate the protective role that BDNF plays on cognition, as well as the cognitive effects
 of WMH.

¹Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (https://adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of

ADNI investigators can be found at: https://adni.loni.usc.edu/wpcontent/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

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Keywords: Alzheimer's disease, brain-derived neurotrophic factor, hippocampal volume, neuropsychology, type-2 diabetes,
 white matter hyperintensity

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33 INTRODUCTION

Brain-derived neurotrophic factor (BDNF) is a 34 neurotrophin that plays an important role in regu-35 lating synaptic activity, neurotransmission, neuronal 36 repair, and plasticity in the central nervous system. 37 More specifically, BDNF has been linked to learning 38 and memory. It helps neuronal maintenance in the 39 entorhinal cortex [1] and plays a role in regulating 40 long-term potentiation, a type of synaptic plastic-41 ity considered as the cellular correlate of long-term 42 memory (LTM) formation [2, 3]. Some studies have 43 suggested that BDNF regulation specifically, and not 44 that of other neurotrophin factors, is associated with 45 LTM formation [4, 5]. 46

Alzheimer's disease (AD), the most common form 47 of dementia among older adults, often involves 48 synaptic and neuronal degeneration of the hippocam-49 pus, and one of the areas where BDNF is expressed 50 is the hippocampus nuclei. In older adults with AD, 51 BDNF plasma and serum levels have repeatedly been 52 shown to be significantly decreased when compared 53 with healthy older adults [6] and those with vascular 54 dementia [7]. This decrease in BDNF may contribute 55 to the pathogenic process of AD through lack of 56 trophic support. One meta-analysis found that in AD, 57 but not mild cognitive impairment (MCI)-which is 58 conceptualized as a transitional stage between normal 59 cognition and dementia-BDNF levels are signifi-60 cantly lower, suggesting that peripheral changes are 61 more easily detected at later stages in the disease 62 [6]. Another found that BDNF levels were signif-63 icantly positively associated with CSF AB42 levels 64 and significantly correlated with medial temporal 65 lobe atrophy [8]. Higher levels of BDNF were corre-66 lated with lower hippocampal pro-BDNF levels and 67 higher hippocampal p-Tau accumulation [9]. Despite 68 the significant amount of research on BDNF levels 69 in AD and associations with AD pathology, BDNF 70 has not been widely studied in individuals without 71 dementia, particularly investigating the relationship 72 between BDNF levels and cognition. 73

One important factor in studying risk for decline 74 in older adults without dementia is white matter 75 hyperintensities (WMH), a marker for small ves-76 sel cerebrovascular disease. Total WMH have been 77 shown to be associated with conversion from nor-78 mal cognition to MCI [10], and one study found that 79 autosomal-dominant AD is associated with increased 80 WMH several years before symptom onset [11]. 81 WMH may cause cognitive decline, particularly in 82 processing speed [12], and WMH studies on the 83

whole suggest that WMH are may contribute to the development of dementia [13]. Although the precise mechanism of the effect of WMH on AD is unknown, regional distribution and volume may play a role [14–16]. WMH are thought to be heterogeneous and have been associated with processes including demyelination, axonal loss due to ischemia or neuronal death, microglia and endothelial activation, and cerebral amyloid angiopathy [17]. The relationship between BDNF and WMH is not well studied, although one study found that number and volume of deep white matter lesions was positively associated with BDNF levels in patients without dementia [18].

Type 2 diabetes mellitus (T2DM) is a condition that increases the risk of cognitive decline, development of dementia including AD, and cardiovascular disease, a leading cause of death in people with T2DM. T2DM is also associated with deficits in multiple domains of cognitive functioning, including memory and executive functions [19, 20]. It is linked to reduced cerebral blood flow, particularly in brain regions implicated in AD such as the medial temporal lobes [21], as well as cerebrovascular disease.

The literature examining the role of BDNF in T2DM is limited but increasing, and several studies have found that the circulating level of BDNF is reduced in individuals with T2DM alone, AD alone, and more reduced in individuals diagnosed with both [22]. Lower BDNF levels have been shown to be correlated with worse delayed memory in T2DM, and there is evidence that decreased insulin resistance is associated with increased release of BDNF [23]. Several studies also suggest that lower BDNF levels may be a risk factor of diabetic neurovascular complications (for review, see [23]).

Since T2DM increases the risk of cognitive decline and development of dementia, and several studies have suggested that lower BDNF levels may be a risk factor of diabetic neurovascular complications, we sought to investigate 1) differences in BDNF levels between those with and without T2DM, and 2) WMH volume, a marker of small vessel cerebrovascular disease, as a moderator on the association of BDNF with both cognition and hippocampal volume in older adults with and without T2DM.

MATERIALS AND METHODS

ADNI data set

Data used in the preparation of this article were obtained from the Alzheimer's Disease

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Neuroimaging Initiative (ADNI) database (https:// 133 adni.loni.usc.edu). The ADNI was launched in 2003 134 as a public-private partnership, led by Principal Inves-135 tigator Michael W. Weiner, MD. The primary goal 136 of ADNI has been to test whether serial magnetic 137 resonance imaging (MRI), positron emission tomog-138 raphy (PET), other biological markers, and clinical 139 and neuropsychological assessment can be combined 140 to measure the progression of MCI and early AD. 141

142 Participants

All participants included in ADNI were between 143 the ages of 55 and 90 years, had completed at 144 least 6 years of education, were Spanish or English 145 speakers, had Geriatric Depression Scale scores <6 146 (possible score range is 0-15) [24], had modified 147 Hachinski Ischemic Scale scores <4, and were free 148 of any significant neurological disease, major psy-149 chiatric conditions, or systemic illness. ADNI was 150 approved by the institutional review boards at par-151 ticipating institutions and written informed consent 152 was obtained. Participants were included in this study 153 if they were not diagnosed with clinical dementia 154 and had BDNF data available at their baseline visit. 155 This resulted in 454 participants. Of these, 49 met 156 criteria for T2DM and 405 did not (see Table 1 for 157 demographics). For an additional post-hoc analysis, 158 participants were classified as cognitively unimpaired 159 (CU) or MCI according to Jak/Bondi actuarial neu-160 ropsychological MCI criteria [25]. 161

162 BDNF measurement

All plasma based BDNF data were downloaded 163 from the ADNI website (https://adni.loni.usc.edu/). 164 can Detailed methods be found online 165 (https://adni.loni.usc.edu/methods/). Briefly, blood 166 samples were collected during baseline visit only, 167 in the morning after an overnight fast, centrifuged 168 to prepare plasma, and frozen on dry ice. Samples 169 underwent an additional freeze-thaw cycle prior to 170 quantification of BDNF. BDNF concentration was 171 analyzed using the multiplex immunoassay panel, 172 which is based on Luminex's xMAP Technology by 173 Rules-Based Medicine (RBM, Austin, TX). 174

175 Diabetes classification

T2DM classification was determined based on the ADNI medical history database [26] or use of glucose-lowering medications [27]. Consistent with previous work in ADNI [26], the following search terms were used to identify participants with DM at baseline from medical history: diabetes, diabetic, insulin, insulin-dependent diabetes mellitus, and noninsulin dependent diabetes mellitus. Individuals with type 1 diabetes were excluded.

Neuropsychological scores

Memory recall was measured by the Rey Auditory Verbal Learning Test (RAVLT) as the number of words recalled following a 30-min delay. Recognition memory was calculated from the RAVLT by subtracting false-positive errors from the number of words correctly recognized. Processing speed was measured by time to complete Trail Making Test A. Each of these measures was converted to a z-score that was adjusted for age, education, and sex based on performance of a sample of cognitively normal ADNI participants who remained cognitively normal throughout their participation in the study (n = 274), consistent with previously published results [28]. Memory was chosen because of its previously discussed association with BDNF and cognitive deficits in early AD. Processing speed was examined because of its sensitivity to WMH and vascular risk.

MR image acquisition and analysis

A description of ADNI MRI imaging data 204 acquisition and processing is available online 205 (https://www.loni.usc.edu/). All images were 206 acquired on 1.5 T systems with 3D T1-weighted 207 magnetization-prepared rapid gradient echo 208 sequences in sagittal orientation. Α proton 209 density/T2-weighted fast spin echo sequence 210 was obtained and used for quantifying white matter 211 hyperintensities. The ADNI protocol was validated 212 across platforms and all imaging sites passed 213 scanner validation tests [29]. Hippocampal and total 214 intracranial volume was derived from FreeSurfer. 215 WMHs were identified on co-registered T1, T2, and 216 PD-weighted images using an automated method 217 that has been previously described [30, 31]. The 218 T1 image was stripped of nonbrain tissues and 219 nonlinearly aligned to a minimum deformation 220 template [32, 33]. The T2- and PD-weighted images 221 were stripped of nonbrain tissues and warped to 222 the space of the minimum deformation template 223 image based on the T1 alignment and warping 224 parameters. WMHs were detected at each voxel 225 based on image intensities of the PD, T1, and T2 226

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Table 1

Participant demographics			
	T2DM (N=49)	Non-T2DM (N = 405)	Between-group differences
Age	74.85 ± 5.40	74.91 ± 7.41	t = -1.53, p = 0.128, d = 0.12
Education	15.16 ± 3.07	15.70 ± 2.99	t = -0.97, p = 0.335, d = 0.08
Sex	38 M; 11 F	248 M; 157 F	$\chi^2 = 12.58, p < 0.001,$ V = 0.10
Race	86% White, 10% Black, 2% Asian, 2% More than one race	94% White, 3% Black, 2% Asian	$\chi^2 = 20.18, p = 0.003,$ V = 0.12
Cognitive Status	18 CU; 31 MCI	147 CU; 258 MCI	$\chi^2 = 2.26, p = 0.133,$ V = 0.04
APOE Status	18 APOE ε4 carriers; 31 non-carriers	198 APOE ε4 carrier; 207 non-carriers	$\chi^2 = 0.074, p = 0.785, V$ V = -0.007
Pulse Pressure	61.21 ± 14.81	59.65 ± 13.81	t = 1.31, p = 0.191, d = 0.11

Welch two-sample *t*-tests were used for evaluating differences in age, education, and pulse pressure between the T2DM and non-T2DM groups. Chi-square tests were used to evaluate differences in sex, race, cognitive status, and *APOE* ε 4 carrier status between T2DM and non-T2DM groups. "V" indicates Cramer's V. "d" indicates Cohen's d.

images, combined with a spatial prior (the prior
probability of WMHs occurring at a given voxel) as
well as a contextual prior (the conditional probability
of WMHs occurring at a given voxel based on the
presence of WMHs at neighboring voxels). A more
detailed description of this has been previously
reported [34].

234 Statistical analyses

Prior to analyses, data were examined for vio-235 lations of assumptions of the statistical procedures 236 employed. Age, sex, education, and APOE ɛ4 sta-237 tus (dichotomous carrier versus noncarrier) were 238 entered into all models as covariates, and educa-239 tion was added when the dependent variable was 240 cognition. Both hippocampal volume and WMH vol-241 ume were divided by total intracranial volume to 242 account for head size. WMH volume (normalized 243 by total intracranial volume) was log transformed 244 to normalize their non-normal distributions. Cogni-245 tive measures were Box-cox transformed to improve 246 normality of their distributions, and outliers were 247 removed from BDNF and hippocampal volume vari-248 ables using the interquartile range method. 249

We first used linear regression to examine associations between BDNF level and covariates across the entire sample (collapsing those with and without T2DM). Analyses adjusted for age, sex, *APOE* ε 4 status, and diabetes status, but did not control for the covariate when it was the outcome variable.

Differences in BDNF level between those with 256 and without T2DM were identified using ANCOVA. 257 We examined the interaction between total WMH 258 and BDNF by examining the interaction between 259 these two variables on 1) bilateral hippocampal vol-260 ume and 2) cognition (i.e., memory and processing 261 speed), within each group (T2DM and non-T2DM). 262 When the interaction term was significant, we exam-263 ined main effects within the T2DM and non-T2DM 264 groups. For each interaction, the relevant variables 265 were entered into a regression analysis with corre-266 sponding dependent variable and covariates. When 267 examining main effects. BDNF was dichotomized by 268 median split. In a posthoc analysis, we additionally 269 controlled for use of metformin, since it decreases 270 glucose production by increasing the insulin sensi-271 tivity of body tissues, and one of the mechanisms 272 described for BDNF is interfering with insulin resis-273 tance. All results remained the same when controlling 274 for metformin. 275

RESULTS

BDNF and covariates

Across the entire sample, BDNF level was significantly associated with age, such that as age increased, BDNF decreased (t=-2.11, p=0.035). BDNF was also significantly associated with sex (t=3.54, p<0.001) such that females had significantly higher BDNF levels than males (M=0.36, 283

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²⁸⁴ SD = 0.33 versus M = 0.23, SD = 0.38; p < 0.001). BDNF level was not associated with *APOE* status (t = 0.11, p = 0.909).

287 BDNF levels between T2DM groups

ANCOVA models adjusting for age, sex, and *APOE* ε 4 carrier status revealed that older adults with T2DM did not show reduced BDNF levels relative to those without T2DM (M=0.17, SD=0.40 versus M=0.28, SD=0.39; F=2.73, p=0.099).

Interaction between total WMH and BDNF on bilateral hippocampal volume

Adjusting for age, sex, and APOE ɛ4 carrier sta-295 tus, there was a significant interaction between total 296 WMH and BDNF on bilateral hippocampal volume 297 in the non-T2DM group (t = 2.63, p = 0.009; Fig. 1). 298 Examination of main effect models with a dichoto-299 mous high/low BNDF group revealed a significant 300 main effect for low BDNF (t = -4.98, p < 0.001), such 301 that as WMH increased, bilateral hippocampal vol-302 ume decreased. The main effect was not significant 303 in the high BDNF group (t = -0.33, p = 0.745). This 304 same interaction was not significant in the T2DM 305 group (t = -0.86, p = 0.399). 306

Interaction between total WMH and BDNF on cognition

Adjusting for age, sex, APOE ɛ4 carrier status, and 309 education, there was a significant interaction between 310 total WMH and BDNF on processing speed in the 311 non-T2DM group (t = 2.91, p = 0.004; Fig. 1). There 312 was a significant main effect for low BDNF (t = -3.55, 313 p < 0.001) such that as WMH increased, processing 314 speed decreased. The main effect was not signifi-315 cant in the high BDNF group (t=0.13, p=0.901). 316 This interaction was not significant in the T2DM 317 group when examining processing speed (t = -1.06, 318 p = 0.297), recall (t = -0.78, p = 0.440), or recogni-319 tion (t = 0.24, p = 0.813). This interaction was also not 320 significant in the non-T2DM group when examining 321 recall (t = -0.24, p = 0.813) or recognition (t = -0.80, p = 0.813)322 p = 0.427). 323

324 DISCUSSION

Our results demonstrate that BDNF level plays a role in the associations between WMH and both hippocampal volume and cognition in those without T2DM. In our sample, older adults with T2DM did not show differences in BDNF levels relative to those without T2DM, after adjusting for demographics and dementia risk factors including age, sex, and *APOE* ε 4 carrier status. There were significant interactions between total WMH volume and BDNF on hippocampal volume in the non-T2DM group, such that for those with low BDNF, as WMH increased, bilateral hippocampal volume decreased. There was also a significant interaction between total WMH and BDNF on processing speed in the non-T2DM group, such that for those with low BDNF, as WMH increased, processing speed decreased. These interactions were not significant in the T2DM group.

Our finding that those with T2DM had similar levels of BDNF compared to those without T2DM does not align with literature noting reduced BDNF levels in individuals with T2DM [22, 35, 36]. Importantly, one meta-analysis found that lower levels of BDNF were found in T2DM patients only when they had cognitive impairment [37]. We excluded for dementia, offering another possible explanation for these findings. Additionally, our sample had relatively low vascular risk compared to the general T2DM population because the study excluded for participants with a modified Hachinski Ischemic Scale scores >4. However, in a post-hoc analysis additionally controlling for cognitive status (CU versus MCI), the T2DM group had significantly lower BDNF levels (p = 0.047). Although everyone in the sample did not have a diagnosis of dementia, this indicates a potential effect of subtle cognitive changes on BDNF. One of the main sources of BDNF is platelets, which help regulate glucose metabolism. Low levels of BDNF have been associated with impaired glucose metabolism, and its cerebral output specifically has been shown to be negatively regulated by high plasma glucose levels [35, 38]. In people with T2DM, lower levels of BDNF were associated with obesity and diabetes complications [38]. Importantly, BDNF levels can be increased behaviorally, via exercise, which increases upregulation of BDNF as well as insulin sensitivity. Increased exercise has been linked to increases in BDNF levels, both in healthy controls and individuals with T2DM [39-41].

We also observed an interaction between total WMH and BDNF on both bilateral hippocampal volume and processing speed in individuals without T2DM. For both interactions, there were significant associations in those with low BDNF, where WMH were negatively associated with hippocampal volume and processing speed. The link between BDNF and 328

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Fig. 1. Interactions between WMH volume and BDNF on hippocampal volume (left) and processing speed (right) among older adults without diabetes. Y-axes reflect model-predicted hippocampal volume and processing speed, respectively. X-axes reflect total WMHs. Hippocampal volume was normalized by intracranial volume.

vascular risk is not yet fully understood. However, 380 plasma BDNF levels have been associated with risk 381 factors for cardiovascular disease, including blood 382 pressure, triglycerides, total cholesterol, and BMI 383 [42]. Several studies have additionally suggested that 384 lower BDNF levels may be a risk factor of diabetic 385 neurovascular complications [23]. More recently, as 386 part of the Framingham Study, high serum BDNF 387 levels were associated with lower levels of WHM 388 in individuals free from stroke or transient ischemic 389 attack, and after 10-year follow-up, lower serum 390 BDNF was associated with increased risk of inci-391 dent stroke and transient ischemic attack, suggesting 392 that BDNF levels may modify the risk of clinical and 393 subclinical cerebrovascular disease [43]. However, 394 studies examining the relationship between either 395 serum or plasma BDNF and WMH in individuals with 396 T2DM are scarce; more research is needed in this area 397 and the precise mechanism by which BDNF affects 398 vascular risk is unknown. It is important to note that 399 we did not find these interactions between BDNF and 400 WMH on cognition or hippocampal volume in the 401 T2DM group. One possible explanation for this could 402 be a small sample in this group (N = 49) compared 403 to the non-T2DM group (N = 405). Another possi-404 bility is that quantifying BDNF levels using plasma 405 may not be an optimal strategy for evaluating neu-406 rovascular complications in individuals with T2DM. 407 Furthermore, although the volume of WMH in those 408 with T2DM is often associated with processing speed 409 and attention [44, 45], other research has not found 410 these associations, including a 3-year longitudinal 411 study [46, 47]. 412

There are several limitations to our study worth
noting. First, BDNF levels collected in ADNI are
quantified in plasma, but recent literature has shown
higher reliability of measurement in serum [48, 49].

Also, our sample of individuals with T2DM who had BDNF data collected was small (n = 49), suggesting that these findings be considered preliminary. Moreover, this sample size precluded us from conducting analyses stratified by cognitive diagnosis within participants with T2DM, however, all participants did not have dementia. All participants had modified Hachinski Ischemic Scale scores <4, indicating that they had relatively low vascular risk, likely lower than most individuals with T2DM. This may have contributed to our finding that those with and without T2DM had similar BDNF levels. Despite these limitations, our analyses add novel findings to the field.

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Conclusions

The current study examined associations between 432 BDNF, and WMH on hippocampal volume and 433 cognition in individuals with and without T2DM. 434 Analyses revealed that those with T2DM had sim-435 ilar levels of BDNF as those without T2DM. We 436 also observed that the association between WMH and 437 both processing speed and bilateral hippocampal vol-438 ume depends on BDNF level in individuals without 439 T2DM. These results further elucidate the protective 440 role that BDNF plays on cognitive decline in this pop-441 ulation. This is suggested by the interaction between 442 WMH and BDNF on processing speed, where, 443 as BDNF level increases, the relationship between 444 WMH and processing speed increases. To our knowl-445 edge, this is the first study to examine WMH and 446 BDNF levels in non-demented individuals with and 447 without T2DM. It contributes additional specificity, 448 particularly in the associations between BDNF and 449 specific cognitive domains. Future work may exam-450 ine additional neurotrophins, such as insulin-like 451

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452 growth factor-1, which has also been shown to play
453 a protective role in AD [50]. Future research should
454 also investigate these relationships in individuals with
455 AD with and without T2DM.

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CONFLICT OF INTEREST

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DATA AVAILABILITY

The data supporting the findings of this study are available via request from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (https://adni.loni.usc.edu).

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